

Docket No. PRD-2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andras Horvath et al.

Serial No. : Art Unit:

Filed : Concurrently Herewith Examiner:

For : PROCESS FOR ELECTROCHEMICAL OXIDATION OF  
FERROCYANIDE TO FERRICYANIDE

I hereby certify that this correspondence is being deposited with the  
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April 27, 2005  
(Date of Deposit)

Mary A. Appollina  
(Name of applicant, assignee, or Registered Representative)

/Mary A. Appollina/  
(Signature)

April 27, 2005  
(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not

enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/PRD-2006/MAA. This form is submitted in triplicate.

Respectfully submitted,

/Mary A. Appollina/

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Attorney for Applicants

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DATED: April 27, 2005

**SUBMISSION UNDER MPEP 609 D**

Page 1 of 1

## Application Number

<i>Filing Date</i>
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Herewith

*First Named Inventor*

Andras Horvath et al.

### Group Art Unit

Examiner Name

Attorney Docket Number

PRD-2006

U.S. PATENT DOCUMENTS

**Examiner  
Initials**

Cite  
No.<sup>1</sup>

Name of Patentee or Applicant  
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U.S. Patent Document

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Applicant of Cited Document

Foreign Patent Document

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T<sup>8</sup>[illegible]Examiner  
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Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

 $T^2$ 

PCT International Search Report for PCT Int'l. Appln. No. PCT/EP03/50641

**Examiner  
Signature**

Date \_\_\_\_\_

**Considered**

PTO/SB/08A (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
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<p>Substitute for form 1449A/PTO</p> <h2 style="text-align: center; margin: 10px 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin: 5px 0;">(use as many sheets as necessary)</p> <p style="text-align: center; margin: 0;">Sheet 1 of 1</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px 5px;"><b>Application Number</b></td> <td style="padding: 2px 5px;"></td> </tr> <tr> <td style="padding: 2px 5px;"><b>Filing Date</b></td> <td style="padding: 2px 5px;">Concurrently Herewith</td> </tr> <tr> <td style="padding: 2px 5px;"><b>First Named Inventor</b></td> <td style="padding: 2px 5px;">Adras Horvath et al.</td> </tr> <tr> <td style="padding: 2px 5px;"><b>Group Art Unit</b></td> <td style="padding: 2px 5px;"></td> </tr> <tr> <td style="padding: 2px 5px;"><b>Examiner Name</b></td> <td style="padding: 2px 5px;"></td> </tr> <tr> <td style="padding: 2px 5px;"><b>Attorney Docket Number</b></td> <td style="padding: 2px 5px;">PRD-2006</td> </tr> </table>	<b>Application Number</b>		<b>Filing Date</b>	Concurrently Herewith	<b>First Named Inventor</b>	Adras Horvath et al.	<b>Group Art Unit</b>		<b>Examiner Name</b>		<b>Attorney Docket Number</b>	PRD-2006
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<b>Group Art Unit</b>													
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<b>Attorney Docket Number</b>	PRD-2006												

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,407,229		Ulrich Jordis et al.	06-28-2002	
		4,032,415		Frederick W. Sanders	06-28-1977	
		4,290,862		Radoslav Y. Vlahov et al.	09-22-1981	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		CZOLLNER, Laszlo et al., "New Kilogram-Synthesis of the Anti-Alzheimer Drug (-)-Galanthamine", Tetrahedron Letters, Elsevier Science Publishers, Amsterdam, NL, Vol. 39, No. 15, April 1998, pp. 2087-2088	
		POSCHALKO, Alexander et al., "Synthesis of (+/-)-6H-benzofuro '3a,2,efl'3!benzazepin e: an unnatural analog of (-)-galanthamine", Tetrahedron, Elsevier Science Publishers, Amsterdam, NL, Vol. 58, No. 8, February 2002, p 1514	
		KOUSMAN, E.P. et al., "Method to Obtain Potassium Ferricyanide Cation Exchange Membrane Electrochemical Separate", Database WPI, Section CH, Week 199640, Derwent Publications Ltd., London, GB, December 1995, Abstract	
		SCHREMMER, I., "Electrolytic Preparation Potassium Ferricyanide Potassium Ferrocyanide Cobalt Coating Thallium Activate Anode", Section Ch, Week 198751, Derwent Publications LTd., London, BG, November 1987, Abstract	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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